



DIVISION OF INSURANCE FRAUD INVESTIGATION
Kentucky Department of Insurance
500 Mero St, P. O. Box 4050, Frankfort, KY 40604-4050
Phone 502-564-1461 – FAX 502-564-1464
Fraud Statistics/Convictions Activity
March 2021

<u>Fraud Statistics</u>		<u>Month/March</u>	<u>YTD</u>
Total Referrals Received.....		208	533
Closed Referrals.....		198	501
Open Cases (Investigations – includes prior years).....			189
Assigned Cases (Investigations).....		8	21
Closed Cases (Investigations).....		16	26
Closed Cases (Investigations) Exceptionally Cleared – Declined by Prosecutor.....			
Charges (0 felony, 0 misdemeanor).....			73
Convictions (1 felony, 0 misdemeanor).....		1	6
Restitution Ordered.....	\$	28,373.72	51,703.61
Restitution Agreed.....	\$.00	.00
Restitution Total.....	\$	28,373.72	51,703.61
Investigation Expenses to Division			
Awarded.....	\$.00	.00
Collected.....	\$	95.24	323.56
DOI Fines.....	\$.00	.00
Administrative Subpoenas Issued.....			1
Non-Licensed Individuals.....			1
Licensed (active/inactive individuals).....			
Subpoenas Received.....			

Wavy Curtis Shane – Information provided lead to a guilty plea agreement on 1 count felony (Conspiracy to Commit Mail Fraud) and an agreement to a restitution order of \$28,373.72, with the U.S. District Court. Mr. Shane was sentenced to 24 months imprisonment with Federal Bureau of Prisons to begin 30 days from 2/4/2021 and 3 years supervised release.